

Attorney Docket No.: 020375-001800US  
Client Ref. No.:

PTO/SB/01A (10-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

<b>Title of Invention</b>	<b>MAIL HANDLING EQUIPMENT AND METHODS</b>
As the below named inventor(s), I/we declare that:	
This declaration is directed to:	
<input type="checkbox"/> The attached application, or	
<input checked="" type="checkbox"/> Application No. <u>10/036,653</u> , filed on <u>November 8, 2001</u> ,	
<input type="checkbox"/> as amended on _____ (if applicable);	
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;	
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;	
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.	
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.	

<b>FULL NAME OF INVENTOR(S)</b>	
Inventor one <u>Scott J. Smith</u>	Date: <u>Mar 28, 2002</u>
Signature: <u>[Signature]</u>	Citizen of: <u>U.S.</u>
Inventor two <u>Jeffery G. Nowlin</u>	Date: <u>Mar 28, 2002</u>
Signature: <u>[Signature]</u>	Citizen of: <u>U.S.</u>
Inventor three <u>Bruce A. Bennett</u>	Date: _____
Signature: _____	Citizen of: <u>U.S.</u>
Inventor four <u>Ronald L. Prechal</u>	Date: _____
Signature: _____	Citizen of: <u>U.S.</u>

☐ Additional inventors are being named on \_\_\_\_\_ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
DE 70629 20 v1

\*\* TOTAL PAGE.03 \*\*

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MAR 28 '02 13:27 FR EMP LOW GROUP DENVER 303 444 6707 TO 01-102777-3956 P.03/03

Attorney Docket No.: 020375-001800US  
Client Ref. No.:

PTO/SEA/1A (10/01)

Approved for use through 10/31/2002, OMB 0851-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention MAIL HANDLING EQUIPMENT AND METHODS

I, the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or  
☒ Application No. 10/036,853, filed on November 8, 2001,  
☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

## FULL NAME OF INVENTOR(S)

Inventor one Scott J. Smith Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: U.S.

Inventor two Jeffery G. Nowlin Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: U.S.

Inventor three Bruce A. Bennett Date: 3-28-02

Signature: Bruce A. Bennett Citizen of: U.S.

Inventor four Ronaki L. Pictul Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: U.S.

☐ Additional inventors are being named on \_\_\_\_\_ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.62. The information is used by the public in the (and the PTO to process) an application. Confidentiality is provided by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Applicant Correspondence for US 700320 v1

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Attorney Docket No.: 020375-001800US  
Client Ref. No.:

PTO/SB/01A (10-01)

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)****Title of Invention** MAIL HANDLING EQUIPMENT AND METHODS

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or  
☒ Application No. 10/036 653, filed on November 8, 2001,  
☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

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**FULL NAME OF INVENTOR(S)**Inventor one Scott J. Smith

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Citizen of: U.S.Inventor two Jeffery G. Nowlin

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Citizen of: U.S.Inventor three Bruce A. Bennett

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Citizen of: U.S.Inventor four Ronald L. PrchalDate: 3-29-02Signature: Ronald L. PrchalCitizen of: U.S.☐ Additional inventors are being named on \_\_\_\_\_ additional form(s) attached hereto.

**Burden Hour Statement:** This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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\*\*\_TOTAL PAGE. 03 \*\*

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Please type a plus sign (+) inside this box →



PTO/SB/81 (02-01)

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<b>POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	Application Number	10/036,653		
	Filing Date	November 8, 2001		
	First Named Inventor	Scott J. Smith		
	Title	MAIL HANDLING EQUIPMENT AND METHODS		
	Group Art Unit			
	Examiner Name			
	Attorney Docket Number	020375-001800US		

I hereby appoint

☒ Practitioners at Customer Number **20350** → 

Place Customer Number Bar Code Label here

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number →

<input type="checkbox"/> Firm or Individual Name				
Address				
Address				
City		State		ZIP
Country				
Telephone	(303) 571-4000	Fax	(303) 571-4321	

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name	
Signature	<i>Reyes Ben-Jamal</i>
Date	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of one form is submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. DE 7062922 v1

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PTO/SB/96 (08-00)  
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 Attorney Docket No. 020375-003100US

### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Scott J. Smith

Application No./Patent No.: 10/036,653

Filed/Issue Date: November 8, 2001

Entitled: MAIL HANDLING EQUIPMENT AND METHODS

First Data Corporation

(Name of Assignee)

a corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To : \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To : \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To : \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.6]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

\_\_\_\_\_  
 Date

Stephen Gene Siner  
 Signature

\_\_\_\_\_  
 Typed or printed name

\_\_\_\_\_  
 Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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JUNE 19, 2002

PTAS  
TOWNSEND AND TOWNSEND AND CREW LLP  
DARIN J. GIBBY  
TWO EMBARCADERO CENTER, 8TH FLOOR  
SAN FRANCISCO, CA 94111-3834

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov

REC'D

JUL 03 2002



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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/11/2002

REEL/FRAME: 012807/0728  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SMITH, SCOTT J.

DOC DATE: 03/29/2002

ASSIGNOR:

NOWLIN, JEFFREY G.

DOC DATE: 03/29/2002

ASSIGNOR:

BENNETT, BRUCE A.

DOC DATE: 03/29/2002

ASSIGNOR:

PRCHAL, RONALD L.

DOC DATE: 03/29/2002

ASSIGNEE:

FIRST DATA CORPORATION  
6200 SO. QUEBEC ST.  
GREENWOOD VILLAGE, COLORADO 80111

SERIAL NUMBER: 10036653  
PATENT NUMBER:

FILING DATE: 11/08/2001  
ISSUE DATE:

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012807/0728 PAGE 2

TONYA LEE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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Mar 28 02 03:44p

Scott Smith

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MAR 28 '02 13:25 FR

LAW GROUP DENVER 303 889 6709 TO 911 27779660

P.02/03

P.2

Attorney Docket No.: 020375-001800US

## ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Scott J. Smith of 348 W. Jensen, Fremont, Nebraska 68025, Jeffery G. Nowlin of 24690 Richfield Loop, Council Bluffs, Iowa 51503, Bruce A. Bennett of 1320 So. 162<sup>nd</sup> Ave., Omaha, Nebraska 68130 and Ronald L. Prchal of 9417 Milford Road, Plattsmouth, Nebraska 68048, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: MAIL HANDLING EQUIPMENT AND METHODS

Filing Date: November 8, 2001

Application No.: 10/036,653; and

WHEREAS, First Data Corporation, a Delaware corporation, of 6200 South Quebec Street, Greenwood Village, Colorado 80111, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the date indicated.

Dated: Mar 28, 2002

Dated: Mar 28, 2002

Dated: \_\_\_\_\_

Dated: \_\_\_\_\_

Scott J. Smith

Jeffery G. Nowlin

Bruce A. Bennett

Ronald L. Prchal

OE 7062916 v1

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MAR 28 '02 13:27 ITR CMP LAW GROUP DENVER 313 000 0703 TO 914027773956

P. 02/03

Attorney Docket No.: 020375-001800US

## ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Scott J. Smith of 348 W. Jensen, Fremont, Nebraska 68025, Jeffery G. Nowlin of 25690 Richfield Loop, Council Bluffs, Iowa 51503, Bruce A. Bennett of 1320 So. 162<sup>nd</sup> Ave., Omaha, Nebraska 68130 and Ronald L. Prechal of 9417 Milford Road, Plattsmouth, Nebraska 68048, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

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Application No: 10/036,653, and

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For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and in all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignor's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application which known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the date indicated

Dated: \_\_\_\_\_

Scott J. Smith

Dated: \_\_\_\_\_

Jeffrey G. Nowlin

Dated: 3-28-02

Bruce A. Bennett

Dated: \_\_\_\_\_

Ronald L. Prechal

Mar. 28 2002 02:54PM P3

FAX NO. : 402-777-3956

FROM : Starwood Cust. Eng.

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Attorney Docket No.: 020375-001800US

## ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Scott J. Smith of 348 W. Jensen, Fremont, Nebraska 68025, Jeffery G. Nowlin of 25690 Richfield Loop, Council Bluffs, Iowa 51503, Bruce A. Bennett of 1320 So. 162<sup>nd</sup> Ave., Omaha, Nebraska 68130 and Ronald L. Prchal of 9417 Milford Road, Plattsmouth, Nebraska 68048, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: MAIL HANDLING EQUIPMENT AND METHODS

Filing Date: November 8, 2001

Application No.: 10/036,653; and

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For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

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IN TESTIMONY WHEREOF, Assignors have signed their names on the date indicated.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Scott J. Smith

Dated: \_\_\_\_\_

\_\_\_\_\_  
Jeffery G. Nowlin

Dated: \_\_\_\_\_

\_\_\_\_\_  
Bruce A. Bennett

Dated: 3-29-02

\_\_\_\_\_  
Ronald L. Prchal

DE 7062916 v1

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